

# Beta 1 – Testing Schedule - Exchanges, Members & NCOs

	July 2024	August 2024	September 2024
<b>Exchanges</b>	<ul style="list-style-type: none"> <li>MQ Connectivity</li> <li>SFTP Connectivity</li> </ul>	<ul style="list-style-type: none"> <li>SOSA Program Maintenance</li> <li>UI Log-in</li> <li>UI Query SOSA</li> </ul>	<ul style="list-style-type: none"> <li>SOSA Series Generation</li> <li>Public/Private Queue Validations</li> <li>SOSA Sweep</li> <li>SOSA Add/Delete CSV</li> <li>SOSA Dashboard Widgets</li> <li>SOSA Security Listing Update DDS (Option Series CSV)</li> <li>Futures Contract Add CSV (SFTP)</li> </ul>
<b>Members</b>		<ul style="list-style-type: none"> <li>MQ Connectivity</li> <li>SFTP Connectivity</li> <li>UI Log-in</li> <li>UI Query Agreements</li> </ul>	<ul style="list-style-type: none"> <li>MQ Connectivity</li> <li>SFTP Connectivity</li> <li>UI Log-in</li> <li>UI Query Agreements</li> </ul>
<b>Non-Clearing Organizations (NCO)</b>		<ul style="list-style-type: none"> <li>SFTP Connectivity</li> </ul>	<ul style="list-style-type: none"> <li>SFTP Connectivity</li> </ul>



# Beta 2 – Testing Schedule - Exchanges

	October 2024	November 2024	December 2024
Exchanges	<ul style="list-style-type: none"><li>▪ Trade Processing (MQ/SFTP/UI)</li><li>▪ Trades DDS (MQ/SFTP)</li><li>▪ Product Listing Process (UI)</li><li>▪ ORSA DDS (SFTP)</li><li>▪ Security Definition/Sec List without corporate actions DDS (MQ/SFTP)</li><li>▪ Open Interest DDS(SFTP)</li><li>▪ Delta Position Limit DDS (SFTP)</li><li>▪ Market Maker File DDS (SFTP)</li><li>▪ Dashboard Widgets</li><li>▪ Exchange Billing (SFTP/UI)</li><li>▪ ISRA (UI)</li><li>▪ SOSA Core Reports</li><li>▪ SOSA Dynamic Reports</li></ul>	<ul style="list-style-type: none"><li>▪ Stock Loan Trades MQ</li><li>▪ Stock Loan UI</li><li>▪ Stock Loan DDS</li><li>▪ Eligible Securities DDS</li><li>▪ On-Line Help</li></ul>	<ul style="list-style-type: none"><li>▪ No new functionality rolled out</li></ul>

# Beta 2 – Testing Schedule - Members

	October 2024	November 2024	December 2024
<b>Members</b>	<ul style="list-style-type: none"><li>▪ Trades (UI)</li><li>▪ Positions (UI)</li><li>▪ Broker to Broker (UI)</li><li>▪ Options Post Trade Processing (MQ/SFTP/UI)</li><li>▪ Futures Post Trade Processing (MQ/SFTP/UI)</li><li>▪ Exercise Intentions (MQ/SFTP/UI)</li><li>▪ Contrary Intentions (MQ/SFTP/UI)</li><li>▪ Spread Instructions (SFTP/UI)</li><li>▪ Customer Gross Margins (SFTP)</li><li>▪ Delta Position Limits (SFTP)</li><li>▪ Large Trader (SFTP)</li><li>▪ Dashboard Widgets</li><li>▪ Settlement Instructions</li><li>▪ Settlement Positions</li><li>▪ Trades DDS (MQ/SFTP)</li><li>▪ Post Trade DDS (MQ/SFTP)</li><li>▪ On Demand Positions DDS (SFTP)</li><li>▪ Open Interest DDS (STP)</li><li>▪ Security Definition/Security List without corporate actions DDS (MQ/SFTP)</li><li>▪ Delta Position Limit DDS (SFTP)</li></ul>	<ul style="list-style-type: none"><li>▪ Stock Loan (UI)</li><li>▪ Stock Loan Balancing (SFTP)</li><li>▪ Stock Loan DDS</li><li>▪ Eligible Securities DDS</li><li>▪ Ex-by-Ex API</li><li>▪ On-Line Help</li><li>▪ Dynamic Reports for associated functionality</li><li>▪ Core Reports for associated functionality</li></ul>	<ul style="list-style-type: none"><li>▪ No new functionality added</li></ul>

# Beta 2 – Testing Schedule – NCOs & CCPs

	October 2024	November 2024	December 2024
<b>Non-Clearing Organizations (NCO)</b>	<ul style="list-style-type: none"><li>▪ Delta Position Limits (SFTP)</li><li>▪ Large Trader (SFTP)</li><li>▪ Outbound DDS (MQ/SFTP)</li><li>▪ Security Definition/Security List without corporate actions DDS (MQ/SFTP)</li></ul>	<ul style="list-style-type: none"><li>▪ No new functionality added</li></ul>	<ul style="list-style-type: none"><li>▪ No new functionality added</li></ul>
<b>Corresponding Clearing Corporations (CCP)</b>	<ul style="list-style-type: none"><li>▪ MQ Connectivity</li><li>▪ SFTP Connectivity</li><li>▪ ACATS (SFTP)</li><li>▪ NSCC Settlement Status (MQ)</li><li>▪ NSCC E/A (MQ)</li><li>▪ Cross Margins (SFTP)</li></ul>	<ul style="list-style-type: none"><li>▪ No new functionality added</li></ul>	<ul style="list-style-type: none"><li>▪ No new functionality added</li></ul>



# Beta 3 – Testing Schedule – Exchanges and Members

	January 2025	February 2025	March 2025
Exchanges	<ul style="list-style-type: none"> <li>▪ LOPR DDS (SFTP)</li> <li>▪ Exchange Prices (SFTP)</li> <li>▪ CFE LTR (SFTP)</li> <li>▪ RBH/CPM DDS (SFTP)</li> <li>▪ Prices DDS (SFTP)</li> <li>▪ Notifications (UI)</li> <li>▪ Security Definition/Security List DDS w/Corporate Actions (SFTP/MQ)</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>
Members	<ul style="list-style-type: none"> <li>▪ Collateral Transactions (MQ/SFTP/ UI )</li> <li>▪ LOPR Submission (SFTP)</li> <li>▪ Collateral DDS (MQ/SFTP)</li> <li>▪ LOPR DDS (SFTP)</li> <li>▪ RBH/CPM DDS (SFTP)</li> <li>▪ Prices DDS (SFTP)</li> <li>▪ Account Summary DDS (SFTP)</li> <li>▪ CFTC Reporting Correction (UI)</li> <li>▪ Position Unsegregation (UI)</li> <li>▪ Excess/Deficit (UI)</li> <li>▪ Notifications (UI)</li> <li>▪ Security Definition/Security List DDS w/Corporate Action DDS (SFTP/MQ)</li> <li>▪ Adjusted Positions DDS (SFTP)</li> <li>▪ Dynamic Reports for associated functionality</li> <li>▪ Core Reports for associated functionality</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>



# Beta 3 – Testing Schedule – NCOs, CCPs & Banks

	January 2025	February 2025	March 2025
<b>Non-Clearing Organizations (NCO)</b>	<ul style="list-style-type: none"> <li>▪ Corporate Action DDS (SFTP/MQ)</li> <li>▪ LOPR Submission (SFTP)</li> <li>▪ Security Definition/Security List w/Corporate Actions DDS (MQ/SFTP)</li> <li>▪ RBH/CPM DDS (SFTP)</li> <li>▪ Prices DDS (SFTP)</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>
<b>Corresponding Clearing Corporations (CCP)</b>	<ul style="list-style-type: none"> <li>▪ OFRA (SFTP)</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>
<b>Banks</b>	<ul style="list-style-type: none"> <li>▪ Collateral Transactions (UI)</li> <li>▪ SWIFT Settlement</li> <li>▪ G-Treasury</li> <li>▪ Letters of Credit</li> <li>▪ Dynamic Reports for associated functionality</li> <li>▪ Core Reports for associated functionality</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>	<ul style="list-style-type: none"> <li>▪ No new functionality added</li> </ul>

