

Beta 1 – Testing Schedule - Exchanges, Members & NCOs

	July 2024	August 2024	September 2024
Exchanges	<ul style="list-style-type: none"> MQ Connectivity SFTP Connectivity 	<ul style="list-style-type: none"> SOSA Program Maintenance UI Log-in UI Query SOSA 	<ul style="list-style-type: none"> SOSA Series Generation Public/Private Queue Validations SOSA Sweep SOSA Add/Delete CSV SOSA Dashboard Widgets SOSA Security Listing Update DDS (Option Series CSV) Futures Contract Add CSV (SFTP)
Members		<ul style="list-style-type: none"> MQ Connectivity SFTP Connectivity UI Log-in UI Query Agreements 	<ul style="list-style-type: none"> MQ Connectivity SFTP Connectivity UI Log-in UI Query Agreements
Non-Clearing Organizations (NCO)		<ul style="list-style-type: none"> SFTP Connectivity 	<ul style="list-style-type: none"> SFTP Connectivity



Beta 2 – Testing Schedule - Exchanges

	October 2024	November 2024	December 2024
Exchanges	<p>User Interface Only</p> <ul style="list-style-type: none">▪ Trades (UI)▪ Product Listing (UI)▪ Reference Data (UI)▪ SOSA (UI)	<p>Inbound Files/Messages and UI</p> <ul style="list-style-type: none">▪ Trade Processing (MQ/SFTP)▪ SOSA Add/Delete Files (SFTP)▪ Futures/OOF Add Files (SFTP)▪ Exchanges Billing (SFTP/UI)	<p>Outbound Files/Messages and UI</p> <ul style="list-style-type: none">▪ Trades DDS (MQ/SFTP)▪ ORSA DDS (SFTP)▪ Security Definition/Sec List without corporate actions DDS (MQ/SFTP)▪ Open Interest DDS (SFTP)▪ Delta Position Limits DDS (SFTP)▪ Market Maker File DDS (SFTP)▪ Large Trader (SFTP)▪ Eligible Securities DDS▪ Stock Loan Trades MQ▪ Stock Loan DDS▪ Stock Loan UI▪ SOSA Dynamic Reports (UI)▪ ISRA (UI)

Beta 2 – Testing Schedule - Members

	October 2024	November 2024	December 2024
Members	<p>User Interface Only</p> <ul style="list-style-type: none"> Trades (UI) Positions (UI) Options Post Trade Processing (UI) Futures Post Trade Processing (UI) Exercise Intentions (UI) Contrary Intentions (UI) Expiration (UI) 	<p>Inbound Files/Messages and UI</p> <ul style="list-style-type: none"> Options Post Trade Processing (MQ/SFTP) Futures Post Trade Processing (MQ/SFTP) Exercise Intentions (MQ/SFTPI) Contrary Intentions (MQ/SFTP) Customer Gross Margins (SFTP) 	<p>Outbound Files/Messages and UI</p> <ul style="list-style-type: none"> Trades DDS (MQ/SFTP) Positions (SFTP) Post Trade DDS (MQ/SFTP) On Demand Positions DDS (SFTP) Exercise Intentions (MQ/SFTP) Contrary Intentions (MQ/SFTP) Assignments (SFTP) Open Interest DDS (SFTP) Security Definition/Security List without corporate actions DDS (MQ/SFTP) Delta Position Limits DDS (SFTP) Stock Loan – Hedge only (UI) Stock Loan DDS Eligible Securities DDS Dynamic Reports for associated functionality (UI) Spread Instructions (SFTP/UI) Delta Position Limits (SFTP) Large Trader (SFTP)

*Beta schedules are subject to change and updates will be communicated.
(10/2024)*



Beta 2 – Testing Schedule – NCOs & CCCs

	October 2024	November 2024	December 2024
Non-Clearing Organizations (NCO)	<ul style="list-style-type: none">No new functionality added	<ul style="list-style-type: none">Delta Position Limits (SFTP)Large Trader (SFTP)	<ul style="list-style-type: none">Security Definition/Security List without corporate actions DDS (MQ/SFTP)
Correspondent Clearing Corporations (CCC)	<ul style="list-style-type: none">MQ ConnectivitySFTP Connectivity	<ul style="list-style-type: none">ACATS (SFTP)Cross Margins (SFTP)	<ul style="list-style-type: none">NSCC Settlement Status (MQ)NSCC E/A (MQ)



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(10/2024)

Beta 3 – Testing Schedule – Exchanges

	January 2025	February 2025	March 2025
Exchanges	<ul style="list-style-type: none">▪ LOPR DDS (SFTP)▪ Exchange Prices (SFTP)▪ CFE LTR (SFTP)▪ RBH/CPM DDS (SFTP)▪ Prices DDS (SFTP)▪ Notifications (UI)▪ Security Definition/Security List DDS w/Corporate Actions (MQ/SFTP)▪ On-Line Help	<ul style="list-style-type: none">▪ No new functionality added	<ul style="list-style-type: none">▪ No new functionality added



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(10/2024)*

Beta 3 – Testing Schedule –Members

	January 2025	February 2025	March 2025
Members	<ul style="list-style-type: none">▪ Collateral Transactions (MQ/SFTP/UI)▪ LOPR Submission (SFTP)▪ Collateral DDS (MQ/SFTP)▪ LOPR DDS (SFTP)▪ RBH/CPM DDS (SFTP)▪ Prices DDS (SFTP)▪ Account Summary DDS (SFTP)▪ CFTC Reporting Correction (UI)▪ Position Unsegregation (UI)▪ Excess/Deficit (UI)▪ Notifications (UI)▪ Security Definition/Security List DDS w/Corporate Action DDS (MQ/SFTP)▪ Adjusted Positions DDS (SFTP)▪ Stock Loan – Market Loan (UI)▪ Stock Loan DDS - Market Loan▪ Broker to Broker (UI)▪ On-Line Help▪ Dynamic Reports for associated functionality▪ Core Reports for associated functionality▪ Ex-by-Ex API	<ul style="list-style-type: none">▪ No new functionality added	<ul style="list-style-type: none">▪ No new functionality added



Beta 3 – Testing Schedule – NCOs, CCCs & Banks

	January 2025	February 2025	March 2025
Non-Clearing Organizations (NCO)	<ul style="list-style-type: none"> ▪ Corporate Action DDS (SFTP/MQ) ▪ LOPR Submission (SFTP) ▪ Security Definition/Security List w/Corporate Actions DDS (MQ/SFTP) ▪ RBH/CPM DDS (SFTP) ▪ Prices DDS (SFTP) 	<ul style="list-style-type: none"> ▪ No new functionality added 	<ul style="list-style-type: none"> ▪ No new functionality added
Correspondent Clearing Corporations (CCC)	<ul style="list-style-type: none"> ▪ OFRA (SFTP) 	<ul style="list-style-type: none"> ▪ No new functionality added 	<ul style="list-style-type: none"> ▪ No new functionality added
Banks	<ul style="list-style-type: none"> ▪ Collateral Transactions (UI) ▪ SWIFT Settlement ▪ G-Treasury ▪ Letters of Credit ▪ Dynamic Reports for associated functionality ▪ Core Reports for associated functionality 	<ul style="list-style-type: none"> ▪ No new functionality added 	<ul style="list-style-type: none"> ▪ No new functionality added



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